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ACTION EUR-12

INFO OCT-01 ISO-00 CIAE-00 PM-04 INR-07 L-03 ACDA-05

NSAE-00 PA-01 SS-15 PRS-01 SP-02 USIA-06 TRSE-00

SAJ-01 /058 W

----- 021437

R 071645Z OCT 75

FM USMISSION NATO

TO SECSTATE WASHDC 3898

SECDEF WASHDC

INFO CINCLANT

USCINCEUR

USLOSACLANT

USNMR SHAPE

C O N F I D E N T I A L SECTION 1 OF 2 USNATO 5452

E.O. 11652: GDS

TAGS: MPOL, NATO

SUBJ: DRAFT FRAME OF REFERENCE (FOR) FOR NATO FORCE PLANNING
DATA BASE (NFPDB)

REF: USNATO 5355 DTG 011639Z OCT 75

1. WHEN THE DEFENSE REVIEW COMMITTEE COMPLETED ITS DISCUSSION OF THE FOR FOR THE NFPDB ON 30 SEPTEMBER, SOME QUESTIONS OF DETAIL REMAINED TO BE RESOLVED. FOLLOWING DRC MEETING, MISSION OFFICER CONTACTED HEAD OF SYSTEMS ANALYSIS SECTION (SAS) (PUHL AND WORKED OUT A REVISION TO FINAL PARAGRAPH OF FOR, AS WE REPORTED IN REFTEL. THE REVISED TEXT OF FOR (DRC/N(75)22) HAS BEEN PUBLISHED WITH A REQUEST TO DELEGATIONS TO FORWARD COMMENTS, IF ANY, TO SAS BY 17 OCTOBER, 1975, SO THAT A MEETING, IF NEEDED, CAN BE CALLED THE FOLLOWING WEEK. IF NO COMMENTS ARE RECEIVED, SAS WILL ASSUME THE APPROVAL OF THE TEXT. ACTION REQUESTED: WASHINGTON GUIDANCE.

2. TEXT OF REVISED FOR FOLLOWS. BEGIN TEXT:

I. OBJECT

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THIS DOCUMENT DEFINES THE AIM AND THE GENERAL OPERATING METHODS OF THE NATO FORCE PLANNING DATA BASE (NFPDB) AND FORMALISES ITS ROLE AS THE ALLIANCE'S CENTRAL INFORMATION SOURCE FOR NATO AND WARSAW PACT FORCES.

II. SCOPE:

THE NFPDB CONSTITUTES NATO'S CENTRAL RESERVOIR OF ESSENTIAL INFORMATION ON WARSAW PACT AND NATO FORCES. THE DATA STORED IN THE BASE BOTH REFLECT THE CURRENT STATUS OF FORCE STRENGTHS AND PROVIDE INFORMATION ON NATIONS' PLANNING OBJECTIVES. THE NFPDB SYSTEM SHOULD BE CAPABLE OF RESPONDING, AT ESTABLISHED LEVELS OF ORGANISATION, TO RELEVANT INFORMATION REQUIREMENTS OF NATO AND NATIONAL AUTHORITIES. THROUGH A BROAD-BASED NETWORK OF ACTION AND LIAISON OFFICERS IN NATIONAL CAPITALS AND NATO ORGANISATIONS, LINKING THE BASE TO DATA PROVIDERS AND DATA USERS, THE SYSTEM IS GEARED TO CENTRAL CONTROL OF INFORMATION FLOW, AFFORDING MAXIMUM RESPONSIVENESS, SECURITY AND INTEGRITY OF DATA AND ELIMINATING DUPLICATION OF REPORTING, HANDLING AND STORAGE OF DATA.

III. CONTENT:

THE NFPDB CONTAINS INFORMATION ON ALL ARMED FORCES OF THE NATIONS OF NATO AND THE WARSAW PACT. THE PRINCIPAL ELEMENTS OF THE BASE ARE THE INDIVIDUAL ARMED FORCES UNITS (ELEMENTARY UNITS). INCLUDED IN THE SYSTEM IS UNIT SUBORDINATION DATA TO BE USED TO DERIVE FORMATION HIERARCHICAL STRENGTHS TO IDENTIFY ORGANISATIONAL RELATIONSHIP BETWEEN UNITS.

FOR EACH ELEMENTARY UNIT, THE DATA BASE HOLDS DETAILED INFORMATION ON PERSONNEL AND EQUIPMENT (DEFINED AND CATEGORISED AS APPROPRIATE); UNIT LOCATIONS, READINESS AND AVAILABILITY; ORGANISATIONAL RELATIONSHIPS WITH OTHER UNITS; WEAPON PERFORMANCE AND EFFECTIVENESS PARAMETERS, AND SUCH OTHER ELEMENTS OF INFORMATION AS ARE NECESSARY FOR FORCE EVALUATION COMPARISON AND FOR PLANNING PURPOSES.

IV. MANAGEMENT:

THE NFPDB IS MAINTAINED AND OPERATED BY THE NATO INTERNATIONAL STAFF. OPERATIONAL RESPONSIBILITY FOR THE OVERALL MANAGEMENT OF THE BASE RESTS WITH HEAD, SYSTEMS ANALYSIS SECTION, WHO IS ANSWERABLE TO THE DEFENCE REVIEW COMMITTEE, IN MATTERS SUCH AS:

- FUNCTIONAL REQUIREMENTS, PLANNING, DEVELOPMENT, DESIGN AND CONFIDENTIAL

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OPERATIONAL PERFORMANCE OF THE NFPDB SYSTEM;

- TECHNICAL SUPPORT TO THE PROGRAMMES AND ALLOCATION OF AVAILABLE MANPOWER AND EXPERTISE AND OPTIMAL EXPLOITATION OF THE POWER AND ECONOMY OF ADP FACILITIES;
- PROCEDURES FOR COLLECTION, COLLATION, PROCESSING OF DATA AND THE TRANSMISSION AND DISSEMINATION OF INFORMATION;
- STANDARDS FOR CODES, DATA ELEMENTS, REPORTING FORMATS, PRESENTATION, DOCUMENTATION AND INTERPRETATION OF OUTPUTS;
- GUIDELINES ON THE USE AND RELEASE OF INFORMATION AND SECURITY REGULATIONS.

THE GROUP ON FORCE DATA MANAGEMENT AND ANALYSIS (FDMA) WILL ASSIST THE HEAD, SYSTEMS ANALYSIS SECTION, IN A DATA MANAGEMENT ADVISORY CAPACITY IN ACCORDANCE WITH DRC(FDMA)N(75)1.

ALL FUNCTIONS OF UPDATING AND RETRIEVAL OF INFORMATION ARE AUTOMATED,
AND A BACK-UP SYSTEM PROVIDES THE NECESSARY SAFETY OF THE
PRINCIPAL FILES.

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V. USE:

THE NFPDB IS, OWING TO ITS UNIQUE AND CENTRAL FEATURES, THE
DOMINANT STORE OF FORCE DATA TO BE USED BY NATO HEADQUARTERS AND
NATO MILITARY AUTHORITIES AND IS ALSO AVAILABLE TO NATIONAL
AUTHORITIES FOR THEIR PLANNING, CONSULTATION AND DECISION-MAKING
ACTIVITIES OF NATO CONCERN.

FROM DETAILED FORCE FIGURES, STORED IN THE NFPDB, AGGREGATE
TOTALS REQUIRED FOR VARIOUS STATISTICAL TABLES CAN READILY BE
COMPLIED, AT DIFFERENT LEVELS AND IN VARYING FORMATS. THE
APPLICATION OF COMPUTER PROGRAMMES PERMITS RAPID RETRIEVAL OF
INFORMATION SUCH THAT SEVERAL CUSTOMERS CAN BE SERVED IN PARALLEL.
CAREFUL CATEGORISATION OF UNITS BY TYPE, ROLE, ETC. PERMITS PRECISE
DEFINITION AND IDENTIFICATION OF FORCE TYPES TO BE INCLUDED IN
REQUIRED COUNTS. THIS FACILITY, TOGETHER WITH THE CENTRAL
CHARACTER OF THE BASE, ENSURES THAT STATISTICAL STUDIES
CARRIED OUT FOR DIFFERENT PURPOSES AND BY DIFFERENT USERS ARE
COMPATIBLE AND COMPARABLE.

THE LOGICAL STRUCTURE OF THE FILES PERMITS EFFICIENT
APPLICATION OF SPECIFIC MATHEMATICAL MODELS AND TECHNIQUES TO
CARRY OUT BOTH SIMPLE STATIC AND ADVANCED DYNAMIC ANALYSES IN
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SUPPORT OF FORCE CAPABILITIES STUDIES.

VI. CONFIDENTIALITY:

THE SECURITY CLASSIFICATION OF THE DATA CONTAINED IN THE NFPDB IS DETERMINED BY THE ORIGINATING NATION OR AGENCY, AND A DISCIPLINE FOR THE STRICT ADHERENCE TO THE CLASSIFICATIONS SO DETERMINED IS OBSERVED, IN COMPLIANCE WITH NATO SECURITY REGULATIONS. IN ADDITION, THE RELEASE OF INFORMATION, FOR WHATEVER PURPOSE, PERTAINING TO ANY NATO NATION'S FORCES TO ANY PERSON OR PERSONS OTHER THAN THOSE OFFICIALLY RESPONSIBLE FOR THE MAINTENANCE OF THE NFPDB, MUST BE SPECIFICALLY AUTHORISED BY THAT NATION THROUGH ITS REPRESENTATIVE ON THE DRC. THE DRC RETAINS COMPLETE AND FINAL AUTHORITY ON ALL MATTERS OF POLICY CONCERNING THE NFPDB, AND IN PARTICULAR ON ALL QUESTIONS INVOLVING THE USE OR RELEASE OF AGGREGATE LISTINGS OUT OF THE DATA BASE FILES. ALL INFORMATION CONTAINED IN THE NFPDB IS PROTECTED TO THE FULLEST EXTENT. IN PARTICULAR, NATO AGREED SECURITY REGULATIONS GOVERNING ADP OPERATIONS AND THE HANDLING OF CLASSIFIED MATERIAL ARE STRICTLY ADHERED TO. ACCESS TO THE DATA BASE FILES IS GRANTED ONLY TO THOSE INTERNATIONAL STAFF MEMBERS WHO ARE INVOLVED IN THE MAINTENANCE OPERATIONS OF THE BASE.

ALL REQUESTS FOR RELEASE OF INFORMATION HELD IN THE NFPDB WILL, IN THE FIRST INSTANCE, BE SUBMITTED TO HEAD, SAS, WHO WILL EXAMINE THEM FOR TECHNICAL FEASIBILITY AND SUITABILITY AND WILL ADVISE THE REQUESTOR OF HIS INTENTIONS: DEPENDING ON THE SENSITIVITY AND IMPORTANCE OF THE INFORMATION REQUESTED, APPROVAL FOR THE RELEASE WILL EITHER BE SOUGHT FROM THE ORIGINATOR(S) OF THE DATA REQUESTED, OR FROM THE DRC. GUIDANCE AND APPROVAL BY THE DRC WILL IN PARTICULAR BE REQUIRED IN MATTERS INVOLVING POLICY DECISIONS AND IN CASES WHERE INFORMATION CONCERNING SEVERAL OR ALL DRC MEMBER NATIONS IS SOUGHT FOR RELEASE. IN ALL SUCH REQUESTS THE USE, TYPE AND LEVEL OF DETAIL, AND DISTRIBUTION OF THE INFORMATION REQUIRED MUST BE STATED CLEARLY. INFORMATION WOULD ONLY BE PROVIDED TO THE EXTENT APPROVED BY THE DRC AND/OR ORIGINATOR(S) OF THE DATA. END TEXT.STREATOR

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